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CHRISTOPHER J. HAYES

DIRECT DIAL NUMBER (314) 259-2953



June 7, 1999

**Assistant Commissioner for Patents** Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/271,046

SYSTEM AND METHOD FOR CONTROLLING

TEMPERATURE AND HUMIDITY

Filed: March 10, 1999 Our Ref.: 16972/104170

Sir:

Enclosed please find the following documents to be filed in connection with the above-identified application:

- This Transmittal Letter (1 page, in duplicate); 1)
- Information Disclosure Statement Under Rule 1.56 (2 pages); 2)
- 3) Form PTO-1449 (3 pages);
- Copies of 33 references; and 4)
- 5) Return postcard.

No fee is currently due. If it is determined that a fee is required, please charge any additional fees to Deposit Account No. 02-4467.

Should you have any questions regarding the enclosed materials, please do not hesitate to contact us.

Very truly yours.

**Enclosures** 

Docket No.: 16972/104170

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 1 0 1999

In re Application of: JOHN C. FISCHER JR.

Serial No.: 09/271,046

Filed: March 10, 1999

SYSTEM AND METHOD FOR For:

CONTROLLING TEMPERATURE

AND HUMIDITY

Examiner: Not Yet Assigned

Art Unit: 3609

June 7, 1999

## INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

MISSIONER FOR Patents

MISSIONER FOR Patents Form(s) PTO-1449 are enclosed).

A1	5,771,707	Lagacéet, et al.
A2	5,761,915	Rao
А3	5,758,511	Yoho, et al.
A4	5,727,394	Belding, et al.
A5	5,660,048	Belding, et al.
A6	5,649,428	Calton, et al.
A7	5,579,647	Calton, et al.
A8	5,551,245	Calton, et al.
A9	5,542,259	Worek, et al.
A10	5,517,828	Calton, et al.
A11	5,496,397	Fischer, et al.
A12	5,448,895	Coellner, et al.
A13	5,401,706	Fischer, et al.
A14	5,373,704	McFadden

A15	5,300,138	Fischer, et al.
A16	5,176,005	Kaplan
A17	5,170,633	Kaplan
A18	4,955,205	Wilkinson
A19	4,769,053	Fischer, Jr.
A20	4,723,417	Meckler
A21	4,594,860	Coellner, et al.
A22	4,582,129	Yano, et al.
A23	4,484,938	Okamoto, et al.
A24	3,844,737	Macriss, et al.
A25	3,144,901	Meek
A26	3,009,684	Munters
A27	5,526,651	Worek, et al.
A27	5,526,651	Worek, et al.

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C1	US. Dept. of Energy, "Federal Technology Alerts - Two-Wheel Desiccant Dehumidification System" (April, 1997)
C2	J. Smith, "Schools Resolve IAQ/Humidity Problems with Desiccant Preconditioning" (1996)
C3	J. Swails et al., "A Cure For Growing Pains,"  Consulting Specifying Engineer (June 1997)
C4	R. DiBlasio, "Conditioning a Research Facility,"  Engineered Systems (September 1995)
C5	C. Downing, "No Place Like Home," Engineered Systems (1996)
C6	SEMCO Incorporated, Energy Recovery Products (1998)

Applicant requests that these documents be considered by the Examiner before issuance of the next action and made of record in this file. The Examiner is also asked to initial and return a copy of the enclosed PTO-1449 form(s) to evidence such consideration.

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This Information Disclosure Statement is being filed in accordance with the following provisions:

- [X] CFR § 1.97(b)(1). Within three months of the filing date of the national application. No fee is required.
- [X] 37 CFR § 1.97(b)(3). To the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits. No fee is required.

If it is determined that a fee is required as set forth in 37 CFR §§ 1.17(p) or. 1.17(i)(1), or if any additional fees are required, please charge such fee to Deposit Account No. 02-4467. A duplicate copy of this document is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

June 7, 1999.

Respectfully submitted,

Christopher J. Hayes

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